Multiparameter Biosignal Analysis in Elderly Ischemic Stroke Patients

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ABSTRACT. Biosignal can provide information about body conditions, including physiological conditions of ischemic stroke. The regulation of blood in the brain is regulated through the mechanism of Cerebral Autoregulation (CA). Some parameters that can be used to determine this mechanism are Blood Flow Velocity (BFV) and Blood Pressure (BP). Stroke is also related to nervous system activity, which is represented through the Heart Rate Variability (HRV). This study aims to determine the relationship between those biosignals and their effects on the physiology of ischemic stroke sufferers. The subjects were divided into two groups (20 strokes and 20 controls). BFV data is obtained in the Middle Cerebral Artery (MCA), BP is obtained through the arteries of the upper arms, and 3 leads electrocardiogram is placed in the chest. The results showed that there was a relationship between BP and BFV in the control group (p-value < 0.05; r = -0.574). This correlation was not found in the stroke group. The relationship between BP and HRV was only found in the stroke group, which was associated with high sympathetic activity and lower parasympathetic activity (p-values < 0.05 and r > 0.4). It was based on SDRR, RMSSD, CVRR, LF, and SD1 parameters. In the control group, there was no relationship between HRV and BP. The relationship between BFV and HRV in the control group was not found statistically. Still, in the stroke group, this relationship was found in the LF and LF/HF Ratio parameters (p-value < 0.05; r > 0.4). Based on this research, parameters on HRV that can be used to determine the characteristics of stroke patients in all positions are MeanRR, VLF, and LF.

Keywords: Ischemic Stroke, Biosignal, Blood Pressure, Blood Flow Velocity, Heart Rate Variability

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1. INTRODUCTION

Blood is pumped by the heart to all parts of the body through blood vessels. Blood flows have different pressure and velocity, depending on where the blood vessels are located. In capillaries, blood flows a thousand times slower compare to the aorta [1].

Abnormal blood pressure causes serious medical conditions. Stroke is a disease closely related to excessive blood pressure (hypertension). Stroke occurs when blood flows into the brain is disrupted, which then damages brain cells. The effect of stroke depends on which brain part was damaged and also amount of damage it causes.

The main causes of stroke are ischemic (blockage) and hemorrhagic (bleeding). Ischemic strokes are more common than hemorrhagic strokes, with a prevalence rate of up to 85% of total stroke cases [2].

Ischemic stroke in elderly patients is a major public health problem, as its strong association with many medical complications, worse functional outcomes, and large health care costs. Compared with young ischemic stroke sufferers, elderly ischemic stroke sufferers show more adverse outcomes [3]. Young stroke patients also show better neurological and functional recovery after stroke [4] [5].

In addition, young stroke patients also make it possible to have a better long-term survival rate. A post-stroke study of 3864 young stroke patients conducted by [6] found that mortality in the young group was 19% lower compared to elderly stroke patients. High mortality rates and lower outcomes in elderly ischemic stroke sufferers requires further study.

Changes in Heart Rate (HR), both tachycardia (rapid heart rate) and bradycardia (slow heart rate) is a phenomenon that is often found in acute stroke conditions. However, both cannot be used as benchmarks to determine the clinical condition in stroke patients [7]. Other parameters are needed to explain the condition of stroke patients, so it is possible to determine the medical treatment to be performed.

Post stroke, cerebral autoregulation becomes disturbed [8] [9]. Cerebral Autoregulation (CA) is the ability of blood vessels to maintain Cerebral Blood Flow (CBF) relatively constant to changes in blood pressure through myogenic, neurogenic, and complex metabolic mechanisms. CBF depends on the condition of blood vessels and arterial blood pressure. Blood pressure rises more than 75% in people who have an acute stroke [10]. The disruption of CA in stroke patients also causes wider atrophy in the brain region, as well as reduced functional ability of the body [11].

One mechanism that affects CA is the neurogenic mechanisms which is associated with the nervous system, including the performance of the autonomic nervous system. The autonomic nervous system works involuntarily to control breathing, digestion, heart rate, blood pressure, and others. The nervous system is divided into the sympathetic and the parasympathetic nervous system.

The activity between the sympathetic nervous system and parasympathetic can be seen through heart rate variability. Heart rate variability (HRV) can quickly indicate disruption in the autonomic nervous system [12]. Disruption of the balance in the autonomic nervous system certainly affects the mechanism in CA. It is therefore important to look at HRV as a parameter to determine the balance of the
autonomic nervous system associated with CA mechanisms in stroke ischemic sufferers.

This research has hypothesis that the disruption of the autonomic nervous system in patients with ischemic stroke can be seen through the parameters contained in HRV. Blood pressure and blood flow velocity also affects the mechanism of CA both in stroke patients and non-stroke. Therefore, an understanding of the physiological conditions of elderly ischemic stroke patients in terms of blood pressure, blood flow velocity, and heart rate variability is needed.

2. MATERIALS AND METHODS

2.1 Subjects

The dataset used in this study is a secondary dataset [13] obtained from the Syncope and Falls in the Elderly Laboratory and the Magnetic Resonance Imaging Center at Beth Israel Deaconess Medical Center (BIDMC) [14]. This dataset consists of 40 patients divided into two groups, 20 patients with stroke and 20 non-strokes (control). The subjects of this study are 45-80 years old.

The stroke group consisted of patients suffering from ischemic stroke for 6 months and in a stable clinical condition, confirmed by neurological examination with a modified Rankin Scale (mRS) < 4, and the National Institute Health Stroke Score (NIHSS) < 5 at the time of the study. The scale of MRS < 4 and NIHSS < 5 indicate that the patient can still respond to two tasks correctly despite a decrease in sensory, motoric, and can walk without assistance. Patients without a clinical history of stroke (control) do not experience a decrease in focus during neurological examination.

Patients who were not included in the study were patients with subarachnoid hemorrhage [15], diabetes, arrhythmias [16], atrial fibrillation, dementia, obesity with a Body Mass Index (BMI) > 35 [17], acute medical conditions, alcohol and drug abuse, and severe hypertension (systole > 200 diastole > 110 or patients with 3 or more anti-hypertension) [18].

2.3 Experimental Design

Subjects were taken by sitting, standing, supine (baseline), and tilted positions. BP is recorded using Dynamap placed on the upper arm and BFV is recorded using Transcranial Doppler (TCD) placed on the right and left Middle Cerebral Artery (MCA).

ECG data is retrieved using the ME6000 (MegaElectronics) 12 leads device. Records during the baseline and tilt positions are taken for 10 minutes. Sit-stand position is taken with the protocol for 5 minutes sitting with eyes open (SitEO), 5 minutes standing in the open eyes (StandEO), then 5 minutes sitting with eyes closed (SitEC) and 5 minutes standing in a closed eyes (StandEC). Each position is taken with a 1000 Hz sampling rate.

2.4 Signal Processing and Features Extraction

Data Analysis

The Mann-Whitney U Method is a non-parametric test that can provide the most accurate averaging estimate, when the sample size is small, and the data are not normally distributed [19]. This method can be used to provide significant values on Waist-Hip Ratio (WHR), Body Mass Index (BMI), and HRV data between the obese and normal groups with a sample size < 30 in each group [20]. Because the data of this study are not normally distributed and the sample data is < 30 in each group, the significance test in this study uses the Mann-Whitney U Method.

Spearman Rank Correlation Test was conducted to determine the relationship between BP parameters, BFV, and HRV in each group. Data are presented using mean ± standard deviations (Mean ± SD). The significant value (p-value) used in this study is 0.05. The magnitude of the correlation is expressed in the coefficient correlation (r). All statistical tests were performed using SPSS Software version 15.0.

3. RESULTS

3.1 Demography

Descriptive statistics are used to summarize all variables in the subject demographics. Table 1 shows a demographic summary of each groups. There was no difference in age and systolic blood pressure between the stroke and control groups (p-value > 0.05). Likewise, with the right and left
MCA diameters, smoking history, and alcohol history. In demographics of body mass index and diastolic blood pressure, the mean in the stroke group tended to be higher than in the control group. Regarding differences in body mass index and diastolic blood pressure in the two groups will be discussed in the discussion section.

3.2 Blood Pressure

Blood Pressure’s significance test for the stroke and control groups are shown in Table 2. Based on these results, BP in each position has a p-value > 0.05. This means that there is no significant difference in BP average between the two groups. Mean BP was shown as Mean Arterial Pressure (MAP).

Although there is no significant difference between the stroke and control groups, the BP average in the stroke group is always higher than the control group. The BP average in the stroke group increased by 3-7 mmHg (Fig. 1). In addition, the average BP in both groups also increased when there was a change in position from the baseline.

3.3 Blood Flow Velocity

Blood Flow Velocity results between stroke group and the control group showed no significant difference. Table 3 shows the results of the BFV significance test, where there was no significant difference between the stroke and control groups.

The mean BFV in the stroke group was lower than the control group (Fig. 2) in any positions. If the average BP has increased when there is a change in position, this applies in reverse to the BFV.

3.4 Heart Rate Variability

HRV analysis is performed in time domain, frequency domain, and Poincaré Plot. Analysis in each domain is useful.
to find out the differences in HRV in the stroke and control groups.

HRV parameters used in the time domain are MeanRR, SDRR, RMSSD, and CVRR. Significance test shows that each HRV parameter in the two groups gave different significant results (Table 4). Almost all p-values in the MeanRR parameter are smaller than 0.05 for each position, except for the StandEO position (p-value > 0.5). This means that commonly there are significant differences between the stroke and control groups in the MeanRR parameters.

In the SDRR parameters, significant differences in stroke and control groups were only seen at the baseline and StandEO positions (p-value < 0.05). Apart from these two positions, the SDRR parameter did not show a significant difference (p-value > 0.5) among the two groups.

The RMSSD parameters in the significance test did not show a significant difference between the stroke and control groups in all positions (p-value > 0.05). This means that the mean RMSSD in the two groups is the same. The CVRR parameter only shows a significant difference in the baseline position (p-value < 0.05).

Significance tests were carried out on both groups, to determine the difference in the frequency domain in each parameter/powerband. The results of the significance tests are shown in Table 5. In the VLF parameter a significant difference is shown in the baseline position and stand eyes open (p-value < 0.05). Other parameters that showed significant differences between the two groups were the LF parameter at the baseline position. The LF/HF Ratio did not show any significant difference between the two groups.

Generally, through the significance test, more significant differences in various positions are shown in the VLF and LF parameters. However, the stroke group with the control group at the baseline position showed the most significant difference in all power bands.

To find out the differences in HRV through non-linear analysis (Poincaré Plot) between the stroke group and the control group, a significance test was performed on the parameters SD1 and SD2. Poincaré Plot significance test results for each parameter in various positions is shown in Table 6.
The Spearman Correlation Rank Test was used to determine the relationship between BP and BFV in both groups. The correlation test results in Table 7 show that in the control group, a relationship was found between BP and BFV at the SitEC position (p-value < 0.05). The correlation shown is a negative correlation with a correlation coefficient of 0.574, which means that there is a strong correlation between BP and BFV in the control group. Different results are shown in the stroke group. In the stroke group, there was no relationship between BP and BFV in various positions with a p-value > 0.05.

### 3.6 Blood Pressure and Heart Rate Variability

The association between BP and HRV was also tested using the same method as the BP test with BFV. Correlation test results between BP and time domain HRV in the control group are shown in Table 8. As seen in the table, the results of the correlation test between BP and HRV time domains in all positions in the control group have p-value > 0.05. This means that the control group did not show any relationship between BP and HRV in the time domain. Each HRV parameter in the frequency domain (VLF, LF, HF, and LF/HF Ratio) did not have any correlation with BP in the control group (p-value > 0.05). HRV on the Poincaré Plot is shown with parameters SD1 and SD2. In the control group, no relationship was found between BP and the two parameters in all positions. The significance value indicated more than 0.05 (p-value > 0.05).

Correlation test in the stroke group gives different results in several positions (Table 9). Baseline, tilt, sit eyes open, and stand eyes open positions do not indicate a relationship. However, in sit eyes close and stand eyes close position, the parameters SDRR, RMSSD, and CVRR have a correlation with BP (p-value < 0.05). The direction of the positive correlation is indicated between these parameters with BP, with a strong correlation level (r > 0.5). Correlation test between BP and HRV frequency domain showed an association (Table 9). The correlation coefficient (r) on the
<table>
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<th>SiTEO</th>
<th>StandEO</th>
<th>SiTEC</th>
<th>StandEC</th>
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<td>0.332</td>
<td>0.367</td>
<td>0.764</td>
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<tr>
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<td>0.198</td>
<td>-0.229</td>
<td>0.219</td>
<td>0.074</td>
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<td>0.402</td>
<td>0.332</td>
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<tr>
<td>SD2</td>
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<td></td>
<td></td>
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<td>0.149</td>
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* p-value < 0.05, SBP=Systolic Blood Pressure, DBP=Diastolic Blood Pressure, r=Coefficient Correlation, p-value=Significance Level

<table>
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<tr>
<th>Blood Flow Velocity</th>
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<th>Stroke Group</th>
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* p-value < 0.05, SBP=Systolic Blood Pressure, DBP=Diastolic Blood Pressure, r=Coefficient Correlation, p-value=Significance Level

The LF parameter shows a positive number and more than 0.5 indicates that there is a strong direct relationship between the LF parameter and BP. The correlation between BP and HRV Poincaré Plot was found in the stroke group, with the p-value and correlation coefficient on the SD1 parameter (0.02 ± 0.542) in the StandEC position. It was shown positive correlation between BP and SD1, which means that both of them have a direct association.

Based on several correlation tests that have been conducted between BP and HRV, the correlation is only
shown in the stroke group. A strong correlation is seen in the parameters SDRR, RMSSD, CVRR, LF, and SD1. The control group did not show any relationship between BP and HRV.

3.7 Blood Flow Velocity and Heart Rate Variability

The results of the BFV-HRV correlation test in the control group are shown in Table 10. The results in the table show the p-value > 0.05 in all positions. This means that none of the HRV parameters in the group indicate a relationship with BFV.

Different results are shown in the stroke group. Correlation tests conducted in the stroke group showed a relationship between BFV and HRV in several positions.

Heart Rate Variability parameters that indicate a relationship with BFV are only found in the frequency domain HRV (p-value < 0.05). HRV parameters in the frequency domain that show a relationship between HRV and BFV are LF parameters and LF/HF Ratio. This relationship is only shown in the baseline and tilt positions.

4. DISCUSSIONS

This study compared Blood Pressure (BP), Blood Flow Velocity (BFV), and Heart Rate Variability (HRV) between control group and stroke group. The results of this study indicate that BP in the stroke group is higher than the control group in all positions. Hypertension is still become the most common risk factors in stroke patients [21] [22]. Other stroke mechanisms related to hypertension caused by mental stress [23] and increased sympathetic activity [24].

Changes in position also affect the results of BP measurement. BP was seen increasing between the two groups, as positions changed. At the baseline position, BP shows lower result than when sitting position [25]. Although BP in the stroke group was higher, it does not mean that stroke has caused BP increasing. In some cases, hypertension which then becomes a risk factor for stroke [26]. But one thing that is certain is that the average BP in the stroke group was indeed higher compared to the control group.

Blood Flow Velocity among right and left sides in stroke and control group has confirmed there is no significant difference in all positions. Although it was not showing a significant difference between the two groups, BFV in stroke group was slower than the control group in all positions. Blood Flow Velocity is influenced by blood vessel diameter, blood vessel elasticity, blood viscosity, and strength of heart muscle contraction. [1]

Deceleration BFV in the stroke group is possible due to blood viscosity. Blood viscosity has an influence on blood pressure [27]. Systolic blood pressure, diastole, and Mean Arterial Pressure (MAP) increase with increasing blood vascularity. In the subject demographics, there was no significant difference in the systole between the two groups. However, the mean diastole in the stroke group was significantly higher than the control group. Because blood pressure is influenced by systole and diastole, the high diastole value certainly increases MAP value. In addition, the increase in MAP is also due to the high viscosity. High viscosity influences slower blood flow in the blood vessels to the brain. The slowing down of BFV is then a risk factor for stroke [28].

Subject demographics showed significant differences between the control group and the stroke group on Body Mass Index (BMI). Nonetheless, research [20] [29] shows that BMI does not affect heart rate variability in obese subjects (p-value > 0.05). Considering obesity is a risk factor for stroke, it can be concluded that the value of each HRV parameter is not absolutely caused by differences in BMI.

In common, HRV in the stroke group was lower than in the control group. Some similar medical conditions are also found in certain diseases. Elderly hypertensive patients have decreased heart rate variability [30]. Significant decreases in HRV are also found in people with psychiatric disorders such as schizophrenia [31] and bipolar disorder [32].

The decrease in HRV in the stroke group indicates that there is interference in the autonomic nervous system. The lower variability in the stroke group is made possible by obstruction of the hemisphere and medullary rods which then damage the autonomic system, resulting abnormalities in the HRV characteristics [33]. The decrease in parasympathetic activity in the stroke group is shown by the low value of the RMSSD and SD1 parameters. Although the RMSSD and SD1 parameters between the two groups in this study did not show any significant differences in various positions, the differences were significantly seen through the HF parameters at the baseline position.

Previous studies have shown that RMSSD is associated with HF in men during sleep [34]. The relationship between the two parameters shows indirectly differences in parasympathetic system activity between the stroke group and the control group. It can be seen in the baseline position through the HF parameter. During breathing, the cardiorespiratory center blocks the flow of vagal outflow, causing the heart beating faster [35]. A faster heart rate indicates a high frequency (HF) in the frequency domain.

Sympathetic and parasympathetic nervous system activities contribute to the SDRR parameters [36]. Research conducted by [8] found that low SDNN in patients with sepsis can be an important predictor related to patient mortality in hospitals with a prediction rate of 70%. In general, SDRR parameters in the control group were higher than the stroke group. These conditions indicate that the autonomic nervous system in the control group is much better. Significant differences in the SDRR parameters can only be seen in the baseline and StandEO positions. Disregulation of the control of the autonomic nervous system is associated with high sympathetic nerves and decreased parasympathetic nerves [37].

RMSSD parameters as a representation of parasympathetic activity showed no significant differences in the two groups. SDRR which is a representation of the sympathetic and parasympathetic nervous system, showed a significant difference between the stroke group and the control group. If the parasympathetic nervous system does not show any difference, then a significant difference in the SDRR must be due to sympathetic nervous system activity.
Several studies have shown an association between SDRR parameters with LF and VLF parameters [38] [39] [40]. The same result is shown by the significant difference between the SDRR parameters and the LF parameters at the baseline position, and the SDRR parameters with VLF at the StandEO position. Other studies [41] [42] [43] also mentioned that the high activity of the sympathetic nervous system in the frequency domain is reflected through the low LF parameters. Similar results were also found in [44], which showed that the mean LF in 329 fibromyalgia patients (388.99 Hz) was significantly lower compared to 58 normal patients (470.0 Hz).

The control group had higher mean VLF and LF than the stroke group. The average VLF and LF in the control group were 344.93 s⁻¹/Hz and 206.64 s⁻¹/Hz, whereas in the stroke group were 165.68 s⁻¹/Hz and 103.37 s⁻¹/Hz. Under normal conditions, healthy subjects do show an increase in VLF at rest [45]. The same condition was also shown in this study, where the stroke group had a lower mean VLF and LF compared to the control group. This means that there is a parasympathetic nervous system disorder, which in this case is characterized by high sympathetic activity in the stroke group. Low VLF at resting conditions is associated with increased chronic inflammation [46] and death from arrhythmias [47]. Excessive sympathetic nerve activity is also shown in people with hypertension [48]. Several factors cause high sympathetic activity, including genetic factors, stress, obesity, and absence of physical activity [49]. In people with stroke, these factors can then increase sympathetic nerve activity.

Autonomic nervous system activity can also be seen through the parameters SD1 and SD2 found in non-linear analysis using Poincaré Plot. SD1 (short-term variability) parameters related to parasympathetic nervous system activity in the stroke group showed a lower mean. As explained earlier, although the stroke group had a lower SD1, no significant difference was found between the two groups. The parameter SD2 (long-term variability) in the Poincaré Plot shows more activity from the sympathetic nervous system. The lower SD2 parameter indicates that the sympathetic nervous system activity is getting higher [50] [51]. The stroke group had a lower SD2 value compared to the control group. The most significant difference was seen in the baseline and StandEO positions. This is consistent with the results of the analysis using a linear method, that increased sympathetic nervous system activity is also seen through Poincaré Plot analysis on SD2 parameters. The results of this study at the same time confirm previous studies [52], that ischemic stroke is largely characterized by the dominance of sympathetic nervous system activity.

Total function of the autonomic nervous system is shown by using the CVRR parameter, while the change in autonomic nerve activity from sympathetic to parasympathetic is indicated through the LF/HF Ratio. The CVRR parameter in the stroke group lower than the control group. Significant differences between the two groups were not seen in changes in autonomic nerve activity from sympathetic to parasympathetic, which in this case was represented through the LF/HF Ratio. Similar results were also found in studies [53] [54].

Regarding BP and BFV in the control and stroke group, the results of this study showed that in the control group, there was a strong relationship at the SitEC position. The negative correlation between BP and BFV in the control group shows that the higher BP, will be followed by lower BFV. The mechanism of CA in healthy subjects involves rapid adjustment of brain blood vessels, so that BFV remains stable in response to changes in BP [8]. In the stroke group, there was no relationship between BP and BFV. Thus, BP and BFV, which affect the CA mechanism, have indeed been reduced after a stroke [8] [9].

In the control group no relationship was found between BP and HRV in all domains. Changes in position and physical activity do allow changes in HRV, but do not have a significant effect on BP [55]. Different results were found in the stroke group. A significant relationship was found between BP and HRV (SDRR, RMSN, CVRR, LF, SD1). Sympathetic activation contributes to increasing blood pressure in hypertensive patients. This is what then causes high blood pressure in the stroke group. The positive correlation between HRV and BP in the stroke group indicates that higher sympathetic nerve activity, causing BP to increase.

There was no relationship between BFV and HRV in the control group, whereas in the stroke group this relationship was seen in the LF parameter and the LF/HF Ratio. BFV in the MCA will increase according to increasing heartbeat [56]. Improving the heartbeat is a form of sympathetic nervous system. A positive correlation between sympathetic nerve (LF) and BFV is also shown in stroke patients. Stroke sufferers who are more dominated by sympathetic nerve activity show an increase in the speed of blood flow to meet the blood supply to the brain. This also confirms the research [57], that the CA mechanism related to BFV in MCA can operate efficiently in the LF range rather than the HF range.

The correlation shown between BFV and HRV in this study is not strong enough to show the overall CA mechanism. The CA mechanism in stroke patients which is very complex and involves many other regulations (such as myogenic, neurogenic, metabolic, etc.) need a further research.

5. CONCLUSION

Blood pressure (BP) in the ischemic stroke group with some exclusions in this study was higher than in the control group, whereas Blood Flow Velocity (BFV) in the ischemic stroke group was faster than the control group. Almost all parameters in the Heart Rate Variability (HRV) in the stroke group were lower than the control group. In the control group, BP is inversely proportional to BFV (p-value < 0.05 and r ≥ 0.5). In patients with ischemic stroke, these changes cannot be done properly, so no difference is found between BP and BFV. There is a relationship between BP and HRV in the ischemic stroke group, which is
associated with high sympathetic nerve activity (p-values < 0.05 and r > 0.4). The relationship between BFV and HRV was also only found in the stroke group (p-value < 0.05 and r > 0.4).

Parameters on HRV that can be used to distinguish stroke characteristics are SDRR, CVRR, LF, and VLF which describe sympathetic and parasympathetic activities, and RMSSD and SD1 which show parasympathetic nerve activity.

Subject quantity in further studies can be added, as well as ischemic stroke and control subject groups can be categorized based on age and gender. Bioisignals used to determine the condition of ischemic stroke patients can be combined with other biosignals. It is possible to analyze the mechanism of CA in stroke patients by using myogenic and metabolic regulation.

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REFERENCES


